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## Impact of endogenous organic matter in the performance of a Managed Aquifer Recharge pond system: dynamics of bioclogging and redox conditions --Manuscript Draft--

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<b>Abstract:</b>	<p>Understanding and characterizing changes in infiltration rates and water quality is key for a proper management and efficiency of MAR systems. Here, we present an integral characterization of a MAR surface infiltration pond system (river, decantation and infiltration ponds, soil and aquifer) in a Mediterranean climate to investigate the origin and fate of organic matter in the facility, which is presumed to trigger clogging events, and reducing conditions. We performed four sampling surveys in different seasons, to obtain the hydrochemical signature of water and the concentrations and characterization of organic matter (OM) by fluorescence spectroscopy. This allowed the differentiation of OM recently generated (endogenous OM, that is, generated within the MAR system), which fuel microbial respiration processes, from allochthonous OM (imported with the raw water and less prone to degradation). These snapshot campaigns were combined with continuous measurements during one year (every 12 minutes) of redox potential at several points of the topsoil (1 m) of the infiltration pond, and daily measurements of the infiltration rate. Results show that OM is generated within the MAR system during spring and summer, mainly in the decantation pond and to a lesser extent in the infiltration pond. These endogenous organic matter boosts the microbial activity during warmer seasons, inducing reducing conditions in the topsoil of the infiltration pond and diminishing the infiltration rate due to bioclogging. Therefore, the design and management of surface MAR systems in seasonal climates such as the Mediterranean must consider the seasonal effects of endogenous biological activity on water quality and infiltration capacity of the system. Implications of the findings in the design and the management and planning of surface MAR systems are also discussed.</p>
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1 **Impact of endogenous organic matter in the performance of a Managed Aquifer**

2 **Recharge pond system: dynamics of bioclogging and redox conditions**

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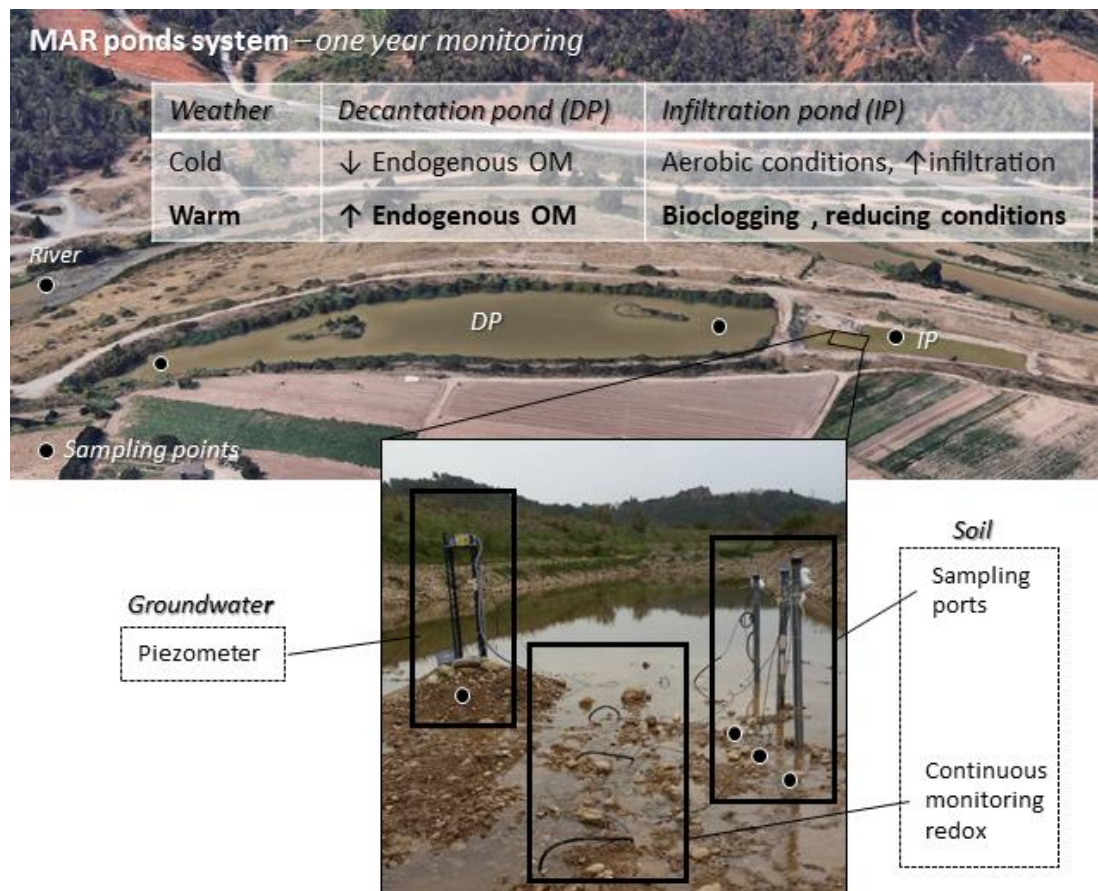
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**Graphical abstract**



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15 **Abstract**

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36 systems are also discussed.

37 **Keywords**

38 Decantation pond; infiltration pond; bioclogging; Redox reactions; soil aquifer treatment

39

40 Highlights

41 - OM is a key parameter influencing bioclogging and redox potential in infiltration ponds

42 - Endogenous OM is generated within the MAR facility, mainly in the decantation pond

43 - Bioclogging and reducing conditions occurred in warmer months due to OM

44 production

45 - MAR systems may be designed and managed to modify endogenous OM growth

46

## 47 **1 Introduction**

48 Surface infiltration ponds are engineered solutions widely used in Managed Aquifer Recharge  
49 (MAR). MAR facilities typically aim at increasing groundwater resources by storing water in the  
50 subsurface. Besides, water increases its residence time, which results in a quality increase of the  
51 recharging water through natural or enhanced attenuation processes (Dillon et al., 2018). When  
52 this occurs, MAR is considered as a passive water treatment, called Soil Aquifer Treatment (SAT)  
53 (Schaffer et al., 2015). Infiltration ponds, as well as other MAR solutions (such as surface  
54 spreading, bank filtration and deep wells) might be implemented coupled with a set of water  
55 pre-treatments in order to optimize the full infiltration system, in terms of the overall water  
56 quality at the reclaim or target point (e.g. for supply or irrigation or to remediate ecosystems).

57 Clogging is a common operational problem in MAR activities (see Rodríguez-Escales et al., 2018  
58 and references therein for a full discussion on problems leading to system failure in MAR  
59 facilities). The main reasons for clogging are particle deposition (physical clogging), growth of  
60 biofilms (bioclogging), mineral precipitation (chemical clogging), and gas formation (hydraulic  
61 conductivity reduction). In the case of infiltration ponds, the most significant causes are physical  
62 and biological. The former is minimized by the presence of a pre-treatment pond which is aimed  
63 at promoting the settling of suspended solids thus reducing water turbidity, and the clogging  
64 risk (e.g., by introducing a decantation pond). Bioclogging is more difficult to manage since it  
65 depends on biological activity, being itself a function of complex interactions between  
66 temperature, light, carbon, and nutrient availability (Dou et al.2019), but also the actual design  
67 of the MAR facility, and the hydrogeologic conditions. There are several strategies to minimize  
68 the overall effect of clogging, from the alternation of dry and wet cycles of recharge (Rodríguez-  
69 Escales et al. 2016) to periodic scrapping of the pond surface in order to recover its initial  
70 infiltration capacity (e.g., Pedretti et al., 2012a).

71 Within the MAR facility, water is present in different compartments, from raw (recharge water),  
72 pre-treatment, topsoil, unsaturated zone, aquifer, and exit or reclaim point. Water quality keeps  
73 changing as a function of location along the MAR system and the infiltration path, or  
74 equivalently, with residence time. In quantitative terms, the most significant processes involved  
75 in the degradation of pollutants that are initially dissolved in the raw water are those mediated  
76 by reduction-oxidation (redox) chemical reactions (Massmann et al. 2006; Rodríguez-Escales et  
77 al. 2017). The transference of electrons in redox reactions involves a large number of microbial  
78 metabolic processes, contributing to nutrient degradation (Maeng et al., 2011; Massmann et al.,  
79 2006), such as Dissolved Organic Carbon (DOC) (Hoppe-Jones et al., 2010), nitrate (Rodríguez-  
80 Escales et al., 2016; Grau-Martínez et al., 2018), or ammonium (Canelles et al. 2021). Moreover,  
81 the oxidation of organic pollutants in natural environments, such as hydrocarbons (van  
82 Breukelen et al., 2004) or emerging organic compounds (EOCs) (Greskowiak et al., 2017) occurs  
83 thanks to different metabolic or co-metabolic degradation processes (Rodríguez-Escales et al.,  
84 2017). Therefore, understanding the redox dynamics in a recharge facility, both in space and in  
85 time, is key to know its actual depuration capacity. The occurrence of redox reactions is mainly  
86 conditioned by the presence of an electron donor, usually in the form of organic carbon, which  
87 tends to be the limiting reactive in aquifers (Rivett et al., 2008).

88 The presence of organic carbon in a MAR facility is related to the presence of natural organic  
89 matter (OM) and, especially, to the origin of the recharged water. If the water comes from an  
90 unpolluted river, the OM is present at low organic carbon concentrations, and composed mainly  
91 by dissolved humic and fulvic materials leached from soils (thus, rich in the so-called “humic-  
92 like” organic matter), which are recalcitrant to degradation (Hudson et al., 2007). If rivers are  
93 polluted with wastewater, both Dissolved Organic Carbon (DOC) and Particulate Organic Carbon  
94 (POC) concentrations may be high and mostly labile, that is, prone to degradation by the  
95 microbial community. Last, if recharge water comes from wetlands (ponds, deep or shallow  
96 lakes, reservoirs), the OM may show a considerable fraction of dissolved and particulate

97 compounds endogenously produced by both autotrophic and heterotrophic organisms (Panno  
98 et al., 2008). Endogenous organic matter results from anabolic and catabolic reactions,  
99 constituting a recently produced carbon, frequently rich in the so-called “protein-like” organic  
100 matter which can potentially fuel intense respiration processes (Hudson et al., 2007). We called  
101 this organic matter as endogenous carbon since it is originated within the recharge facility itself.

102 The formation of biomass blooms in MAR facilities are not anecdotal (Noh et al. 2020). When  
103 warm temperatures coincide with availability of light and nutrients, both algae and  
104 cyanobacteria may grow exponentially, becoming a new source of endogenous POC that  
105 becomes available at the MAR system. As this carbon is recently produced, it is more labile than  
106 the one present in the river water (Hyung et al., 2020). In a MAR system, biomass blooms may  
107 occur in places with longer water residence times (e.g. decantation ponds or pre-treatment  
108 wetlands), eventually reaching the topsoil of the infiltration pond (Dutta et al., 2015; Pedretti et  
109 al., 2012b). Once POC is produced, it can be hydrolyzed and transformed into labile dissolved  
110 organic carbon, becoming a new source of electron donor and boosting microbial communities  
111 in the soil and aquifer (Barba et al., 2019a and, 2019b) , which control the reduction-oxidation  
112 potential in the unsaturated zone (Rodríguez-Escales et al., 2020; Grau-Martínez et al., 2018).

113 Despite the relevance of organic matter in biogeochemical processes occurring at MAR facilities,  
114 most studies are focused at laboratory scale (Fichtner et al., 2019; Hyung et al., 2020; Takabe et  
115 al., 2019; Trussel et al., 2018; Wei et al., 2015, among others). The ones working at the field scale  
116 are only concerned about the removal of organic matter in the infiltration pond and limited to  
117 systems fed by treated wastewater (Ascúntar-Ríos et al., 2014; Wei et al., 2014; Zhang et al.,  
118 2014), with only a few recharged with natural waters (e.g., Jokela et al., 2017). Currently, there  
119 is a knowledge gap on the behavior of OM in the whole MAR facility understood as a unit. Even  
120 more, the role of endogenous carbon in the different MAR compartments (decantation pond,  
121 infiltration pond, etc.) is poorly understood. This is relevant because understanding OM

122 dynamics in MAR systems would allow for the anticipation of bioclogging events, facilitating  
123 proper management of the facility, with implications for both the infiltration performance  
124 evolution and the water quality at the reclaim point.

125 The goal of this work is to investigate the origin and the evolution of organic matter along a  
126 whole MAR facility and its role in the generation of bioclogging events, and as a controller of the  
127 redox processes in the soil below the infiltration pond. For that, we intensively monitored a real  
128 recharge facility fed by river water located in Castellbisbal (Barcelona, Spain) during one year.  
129 The infiltration rate was recorded daily, while redox state was monitored every 15 minutes in  
130 the top soil of the infiltration pond at several depths. Water from the different system  
131 compartments (river-recharging water, entrance and exit of the pre-treatment system  
132 (decantation pond/wetland), the infiltration pond, the first meter of the unsaturated zone, and  
133 the aquifer), were sampled in different seasonal surveys. Implications of the findings in the  
134 design and the management and planning of surface MAR systems in the Mediterranean region  
135 are then discussed.

136

## 137 **2 Methodology**

### 138 **2.1 Castellbisbal site description**

139 The study area is located in Castellbisbal, in the outskirts of Barcelona (Figure 1). It feeds the  
140 Cubeta de Sant Andreu's aquifer, a well characterized area from the hydrogeological standpoint,  
141 constituted by quaternary sedimentary materials associated to the evolution of the Llobregat  
142 River. Different levels of stepped alluvial terraces compose the aquifer. The actual recharge  
143 facility used in this study is located in a river meander, in the T0-T1 Terrace, that sits on Cenozoic  
144 red mudstones (Martín and López, 2001).





154 time of the year. The lithology of the area is composed by gravels in a sandy matrix, with a  
155 porosity between 0.2 and 0.3, and an estimated transmissivity of around 4100 m<sup>2</sup>/d (Martín and  
156 López, 2001). Recharge is mostly continuous over the year, but interrupted by management  
157 protocols when the turbidity of the river water reaches 150 NTUs and/or due to maintenance  
158 operations or summer holidays. There is a piezometer (PJ) inside the infiltration pond, that  
159 crosses the vadose-zone and it is screened only at the permanently saturated part of the aquifer.

## 160 **2.2 Field site monitoring**

### 161 **2.2.1 Operational conditions during the study**

162 The daily infiltration rate (m/d) was calculated through water balance within the infiltration  
163 pond. Storage accumulation in the pond was calculated as the daily cumulative difference in  
164 water level (actually measured every 30 minutes). Storage was equated to the difference  
165 between water supplied and infiltration, with the area as a function of water depth being known.  
166 Inlet flowrate was provided by the water user's community managers (CUACSA), from daily  
167 measurements at the interconnection between the decantation and the infiltration pond.  
168 Evaporation was considered negligible when compared to the infiltration volumes. Water level,  
169 temperature and electrical conductivity were measured at 30 min intervals (CTD-Diver,  
170 Schlumberger) in the piezometer PJ (see Figure 1 for location) at a depth of around 6 m from  
171 the bottom of the pond. All pressure measurements were compensated with atmospheric  
172 pressure values (Baro Diver, Schlumberger).

### 173 **2.2.2 Non-intrusive continuous monitoring of temperature and redox potential**

174 The non-intrusive redox measurements were taken in three multilevel and seven simple redox  
175 potential probes (Hypnos III, MVH Consult, The Netherlands). Their location is provided in Figure  
176 1c. The probes consisted of platinum sensors located at different depths of a fiberglass epoxy  
177 tube. The simple sensor probes were installed at the infiltration pond water (probe 1), at 17 cm

178 (probe 5), at 70 cm (probe 6) and inside PJ (depth of 6 m) (probe 7), all reported distances  
179 measured from the bottom of the pond. The three multilevel probes (two sensors in each one)  
180 measured redox potential at 22 and 62 cm (probe 2), 25 and 55 cm (probe 3) and at 23 and 53  
181 cm (probe 4). A reference electrode (Ag/AgCl) was placed inside piezometer PJ, in order to  
182 ensure electrically conductive paths between the reference electrode and the redox probes. The  
183 platinum sensors and the reference electrode were connected to Hypnos III (Vorenhout et al.,  
184 2004), which recorded the measured potentials every 12 min. Previous to the installation,  
185 sensors were calibrated and revised according to the ORP values of a multi-parameter probe  
186 (YSI Professional Plus). The ORP values were adjusted to values of a standard hydrogen electrode  
187 following the expression obtained in the specifications of a Hamilton 271 mV redox solution,  
188  $Eh = ORP - 0.7309T + 225$ . Two temperature sensors were integrated into the redox probes,  
189 one at the water pond (probe 1) and the other at 55 cm depth of the soil (probe 3, Figure 1c).

### 190 **2.2.3 Sampling campaigns: hydrochemistry**

191 During the study period of one year (Oct 2016 - Oct 2017), four sampling campaigns were  
192 performed: one in winter (December 2016), one in spring (April 2017) and two in summer (June  
193 and July 2017). Water sampling locations were: 1) river (R), 2) decantation pond at both entrance  
194 and exit (DP-E and DP-Ex), 3) infiltration pond (IP), 4) unsaturated zone at different depths (20,  
195 50 and 90 cm, USZ) and 5) the aquifer just below the infiltration pond (PJ) (see Figure 1c).

196 The samples of the vadose zone were extracted with a vacuum pump connected to the three  
197 sampling ports. In PJ, water was purged before sampling until field parameters stabilized. Field  
198 parameters (electrical conductivity, pressure, temperature, dissolved oxygen, carbon dioxide  
199 partial pressure, redox potential, and pH) were measured *in situ* with a multi-parameter probe  
200 (YSI Professional Plus) and an infrared gas analyzer (EGM-4 PPSystems) connected to a  
201 membrane contactor (Liqui-Cell); measurements were performed in a flow cell in order to  
202 preserve the pressurization of water during sampling. Alkalinity was measured in the field using

203 test kit. Total Organic Carbon (TOC), Dissolved Organic Carbon (DOC), major cations and anions,  
204 nutrients and metals were also analyzed from the water samples.

205 Water samples for DOC determination were filtered in the field through pre-combusted and pre-  
206 rinsed 0.7  $\mu\text{m}$  glass fiber filters (GF/F; Whatman, UK). DOC/TOC concentrations were analyzed  
207 by high-temperature catalytic oxidation on a Shimadzu TOC-V- CSH analyzer (Shimadzu Co.,  
208 Japan). The samples for anion and cation analyses were filtered with 0.2  $\mu\text{m}$  nylon filters  
209 (Whatman, UK), kept in dark at 4<sup>o</sup> C, and analyzed in the laboratory within the following 24 h.  
210 DOC samples from July 2017 campaign were lost due to instrument malfunctioning. Nitrate,  
211 nitrite, major anions and major cations were determined by liquid phase ionic chromatography  
212 (IC5000, Dionex, Thermo Fisher Scientific, USA). Phosphate was determined by  
213 spectrophotometry (Smartchem 140, AMS Alliance). Samples for metal determination were  
214 filtered in the field through a 0.2  $\mu\text{m}$  nylon filters (Whatman, UK), acidified with nitric acid to a  
215 pH = 2-3, and analyzed within 72 h by ICP- OES using a Perkin Elmer Optima 3200DV.

#### 216 **2.2.4 Sampling campaigns: organic matter characterization**

217 Dissolved Organic Matter (DOM) composition was characterized by absorbance-fluorescence  
218 spectroscopy (AFS) as in Casas-Ruiz et al. (2016). AFS is a semi-quantitative technique that takes  
219 advantage of the contrasting fluorescence properties of different pools of DOM (proteins, humic  
220 and fulvic acids) (Kothawala et al 2014). Fluorescence Excitation-Emission matrices (EEMs) were  
221 obtained measuring samples filtered through a 0.2  $\mu\text{m}$  nylon filter in a 1-cm quartz cuvette, using  
222 a fluorescence spectrophotometer (F-7000, Hitachi, Japan). Samples were excited using  
223 wavelengths from 248 to 449 nm (with 3 nm increments) and the resulting emissions were  
224 measured at 250 to 550 nm (3 nm increments). Excitation and emission slit widths were set to 5  
225 nm. All EEMs were corrected for instrument-specific bias and blank subtracted using the EEM of  
226 Milli-Q water run every ten samples. Spectra were corrected for inner filter effects following  
227 Kothawala et al. (2014) using UV–Visible absorbance spectra (190–800 nm) measured on an

228 Agilent 8453 diode array spectrophotometer (Agilent Technologies, Germany). The integral of  
229 the Raman scatter peak of Milli-Q blanks was used for EEMs intensity calibration to Raman Units  
230 (Murphy et al. 2010).

231 We used several features of the EEMs for characterization of the DOM pools. First, we used the  
232 value of fluorescent emission at different excitation peaks in the EEMs traditionally used to  
233 differentiate between humic-like DOM (coming from the leaching of organic matter stored in  
234 the watershed soils and mostly refractory to microbial degradation) and protein-like DOM  
235 (coming from recent anabolic or catabolic biological activity and mostly easily degradable, e.g.,  
236 proteins). We considered peaks A and C as indicative of humic-like components, and peaks T, B,  
237 M of those of protein-like components (Hudson et al., 2007). We also determined two common  
238 fluorescent indices: the Humification Index (HIX) and the Biological Index (BIX). HIX index aims  
239 at estimating the maturity of soil DOM, whereas BIX is proportional to the content of recently  
240 produced organic matter. The Fluorescence Index (FI), widely used for DOM characterization  
241 (McKnight et al., 2001) was unresponsive to changes in DOM in our samples, and thus not  
242 reported. The integral of the fluorescence signal across the whole EEM was calculated as a semi-  
243 quantitative proxy of the amount of fluorescent DOM in the samples.

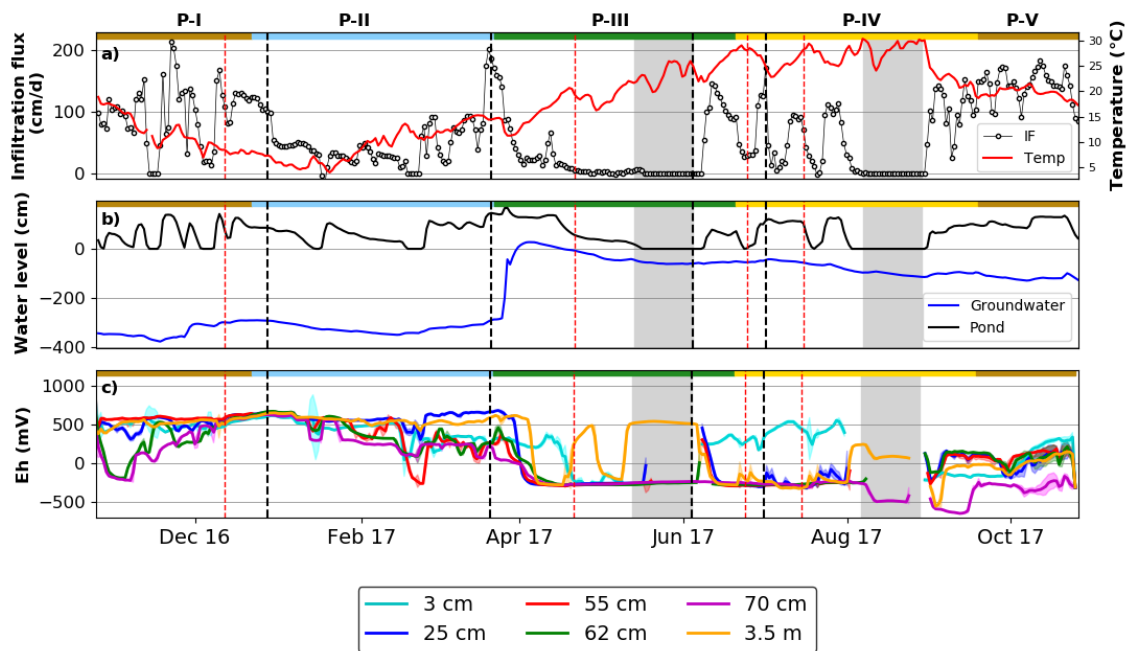
244 Organic carbon content in the first 2 cm of the sediment below the infiltration pond was  
245 estimated by sampling sediment cores with a plastic syringe in 5 locations selected randomly,  
246 and then measured by loss on ignition from the difference between the weight of samples dried  
247 at 50°C in an oven for 48h and after combustion of the samples at 450°C for 4h. We assumed a  
248 ratio of organic matter to carbon of 1.724 (Elosegui and Sabater, 2009). Organic carbon in the  
249 biofilm growing on the surface of stones exposed to sunlight in the infiltration pond was  
250 measured collecting all biomass in a known surface of 5 randomly selected stones, and  
251 subsequently processed in a similar way as the sediment cores.

## 252 **3 Results**

### 253 **3.1 Infiltration rate, $Eh$ and groundwater level variations**

254 The infiltration rate had strong variations along the one-year observation period (Figure 2a). In  
255 general terms, it was highest during cold seasons (autumn and winter). Temporal variations  
256 responded to different clogging events and management tasks, which allowed us to define five  
257 characteristic periods of infiltration (Reodríguez-Escales et al. 2020). Period I started (initial  
258 conditions) in October, after a scrapping operation, and lasted until December (64 days in total).  
259 Period II, Dec-Mar (83 d), displayed an intermediate infiltration rate. Period III, Mar-Jun (75 d),  
260 started right after a heavy rainfall event, and showed low infiltration rates that we attributed to  
261 clogging. Period IV, up to the end of June (27 d), started after a scrapping operation which  
262 promoted a period of high infiltration rate. Finally, Period V, Jul-Oct (116 d), displayed  
263 fluctuations in the infiltration rate, with flow discontinued in the middle of the period (August)  
264 due to summer holidays.

265 Regardless the variations in the infiltration dynamics, the groundwater level (GWL) was not  
266 significantly disturbed (Figure 2b) during the period, attributed to the very high aquifer  
267 transmissivity, buffering the mounding effect below the pond. The most important GWL change  
268 occurred in Period III, after day 150 (spring), due to an important rain event that produced an  
269 increase of river flow that flooded the recharge facilities and induced a significant increase in  
270 the regional aquifer levels. For about 3 weeks at the beginning of April, the GWL rose above the  
271 bottom of the pond. After this extreme event the GWL slightly and steadily decreased until the  
272 end of Period III with some minor increase due to high infiltration rates in periods IV and V.



273

274 **Figure 2.** Temporal evolution of the different continuous measurements. a) Infiltration flux in cm/d (black) and  
 275 temperature within the infiltration pond (red solid line); b) Water level at piezometer PJ (blue) and the infiltration  
 276 pond (black); c) Redox potential (from sensor redox probes) at different depths. Grey zones are periods where  
 277 infiltration was discontinued, either due to scrapping operations (June), or for summer holidays (August).

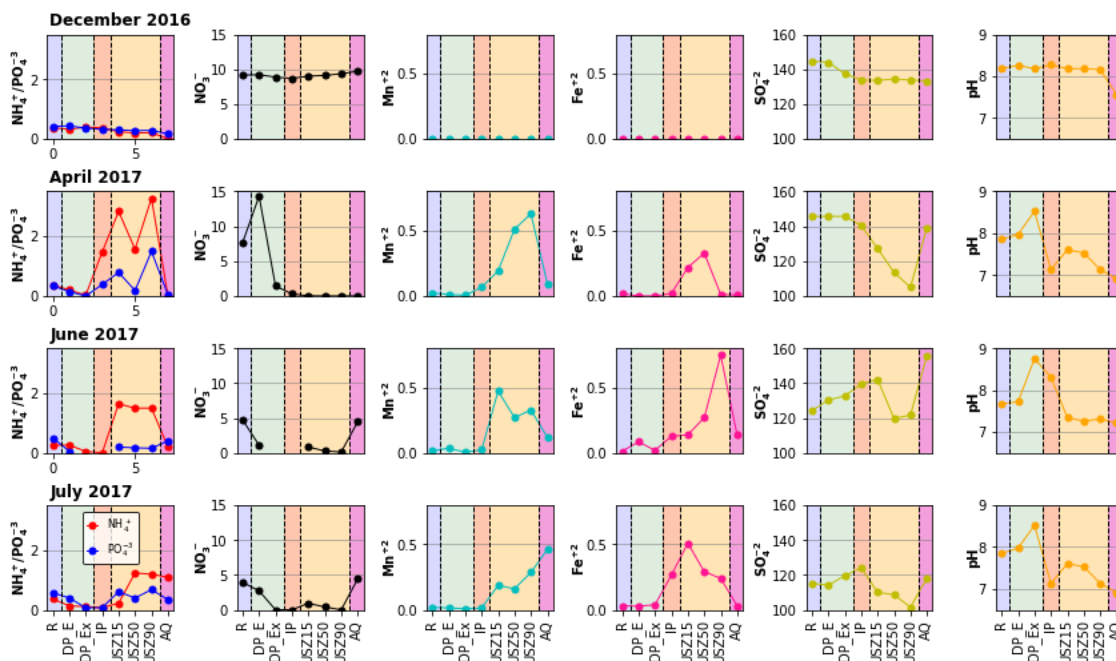
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279 The redox potential (Figure 2c) displays some clear trends. In general terms, the redox potential  
 280 during autumn and winter (Periods I-II) showed higher values than during spring and summer  
 281 (Periods III-IV-V). Note that the transition from aerobic conditions (topsoil) to anaerobic ones  
 282 occurred right below the infiltration pond and within the first meter of the unsaturated zone, a  
 283 phenomenon also observed elsewhere (e.g., Massmann et al., 2004). The behavior is similar at  
 284 all depths, except for the redox potential in the shallowest point (3 cm), which was more  
 285 oxidative at the beginning of summer, this attributed to the immediate scrapping operation.

### 286 3.2 Evolution of water quality in depth along seasons

287 In the winter 2016 survey, most water quality parameters showed slight changes along the  
 288 different compartments composing the MAR system (Figure 3). In April, there was a slight  
 289 decrease of nitrogen and phosphorous in the Decantation Pond (DP), but the most important  
 290 changes occurred in the Infiltration Pond (IP) and the topsoil, with an increase in ammonia,

291 phosphate, manganese and iron, and a decrease in sulfate. In summer, the most significant  
 292 changes took place in the topsoil, although sulfate and pH variations were observed at DP and  
 293 IP.



294

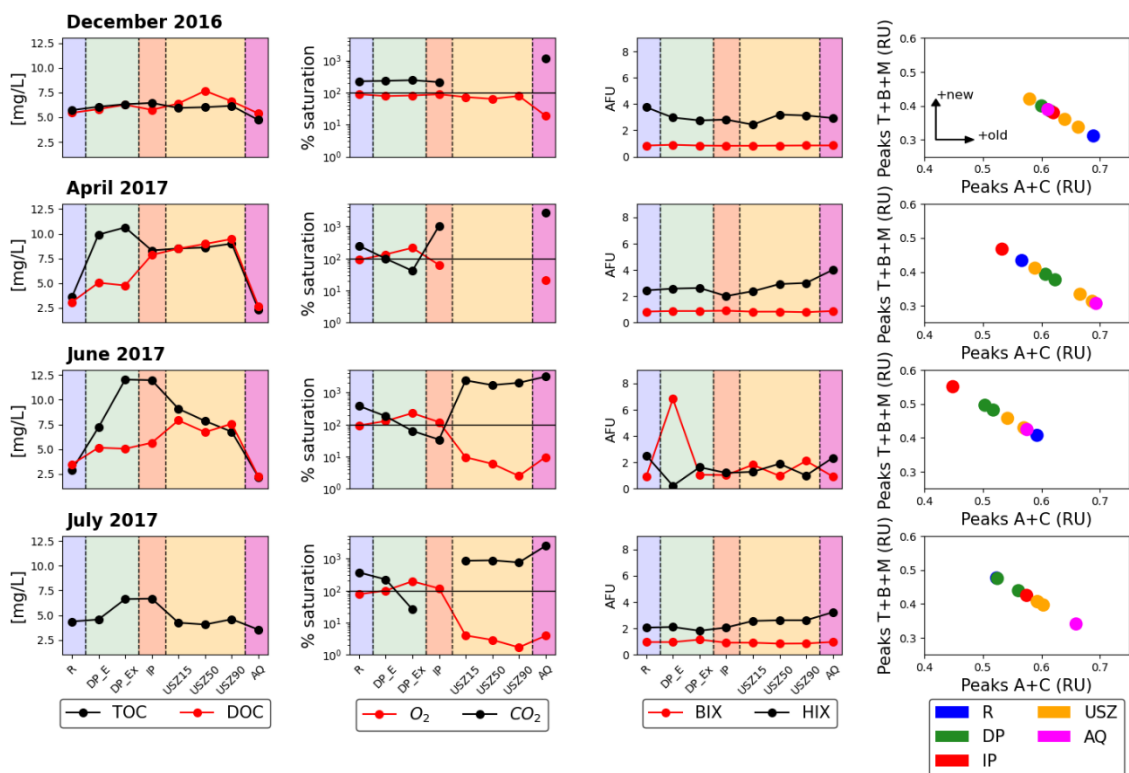
295 **Figure 3.** Hydrochemical results of the four sampling campaigns. All units (except pH) are mg/L. The color pattern  
 296 relates to the different places within the recharge facility: blue, river (sample denoted by R); green, decantation pond  
 297 (samples DP-E at the entrance, and DP-EX at the exit); orange, infiltration pond (IP); light orange, unsaturated zone-  
 298 soil (samples USZ 15, 50 and 0, with numbers indicating depth in cm); and magenta, aquifer (AQ, measured in PJ).  
 299

### 300 3.3 Organic matter dynamics along the system

301 The amount of DOC entering in the MAR system from the river (R) was relatively low, between  
 302 3 and 6 mg/L during all the monitored period (Figure 4). However, in the April and summer  
 303 surveys, an increase in TOC and DOC was observed along decantation and infiltration ponds. In  
 304 the Summer surveys, there was a clear decrease in TOC once the water passed through the  
 305 topsoil (USZ samples, Figure 4), while in April this reduction started already in the IP. The organic  
 306 carbon content in the surface sediments (Supplementary Material, TS5 and TS6) was largest in  
 307 April ( $241.5 \pm 47.1$  g C/m<sup>2</sup>) and smallest in June ( $41.8 \pm 34.5$  g C/m<sup>2</sup>), which could be influenced by  
 308 scrapping operations, while the organic carbon content in the surface biofilm of the infiltration  
 309 pond fluctuated with no trend between 8.9 and 464.1 g C/m<sup>2</sup>.



310 The intensity of peaks associated to protein-like DOM (peaks T, B, M) relative to those associated  
 311 to humic-like components (peaks A, C) were lowest in winter with no clear trend, with the  
 312 smallest variation of organic matter concentration along the system for all seasons. The  
 313 maximum intensities were observed in June, with a high predominance of protein-like DOM in  
 314 both ponds and in the vadose zone. In April, the relative intensity of protein-like DOM peaks  
 315 moderately increased in the infiltration pond compared to the winter values, but being lower  
 316 than in June; in July, the highest values were observed in the decantation pond. The BIX index  
 317 (also indicator of recently produced DOM) showed slight variations along the system, while the  
 318 HIX index (humic-like substances), correlated inversely to BIX following a negative power law  
 319 ( $r^2=0.86$ ,  $p<0.0001$ ).



320

321 **Figure 4.** Evolution of TOC, oxygen, CO<sub>2</sub> content, BIX, and HIX indices at the different sampling campaigns. See the  
 322 notation for sampling points in the caption of Figure 3. The last column displays the proportion of fresh-like  
 323 components (vertical) to humic-like ones (horizontal) corresponding to each sampling point and survey.

324

## 325 **4 Discussion**

326 The reported changes in the infiltration rate and the water quality in the different parts of the  
327 MAR system respond to a combination of environmental conditions together with managerial  
328 activities. Quantitative and qualitative aspects are affected by the redox dynamics below the  
329 infiltration pond (see also Goeren et al., 2014, Turkeltaub et al., 2022). Full understanding can  
330 only be achieved considering the evolution of the organic matter along the recharge system,  
331 linked to the seasonal conditions.

### 332 ***Autumn and winter: Low biological activity with high infiltration rate; recharge flow controlled*** 333 **by river water turbidity (Periods I and II)**

334 Monitoring started in October 2016, following a scrapping of the bottom of the infiltration pond,  
335 so with full recharging capacity. During Period I, significant variations in infiltration rate were  
336 observed (Figure 2, P-I and P-II), but not due to a decrease in infiltration capacity, but rather due  
337 to water availability, as river water turbidity frequently exceeded the threshold value of 150 NTU  
338 due to heavy rainfall events. During this high infiltration phase, the *Eh* values remained  
339 relatively constant at oxidant values (+500 mV) at shallow depths during most of the Period I  
340 and the beginning of the Period II, indicative of aerobic conditions and relatively few water  
341 quality changes in the system. This assumption was confirmed by the December survey where  
342 DOC and TOC remained stable in the full facility (Figure 4). The organic matter showed a large  
343 presence of humic-like peaks in the DOM samples, indicating preeminence of recalcitrant  
344 organic carbon coming from soil leachates in the watershed. Furthermore, the highest values of  
345 HIX compared with the other surveys supports the idea of low biological activity during this  
346 period, also confirmed by the lack of changes in DO and CO<sub>2</sub> saturation along the system (Figure  
347 4). This low reactivity was also supported by the hydrochemistry, as it remained without  
348 significant changes along the MAR system (Figure 3). There was only a slight increase of nitrate

349 with the corresponding decrease of ammonia along the upper soil and the aquifer (Figure 3)  
350 which was attributed to nitrification (see Rodríguez- Escales et al. 2020).

351 During Period II, the infiltration capacity decreased slowly, attributed to several factors: 1) a  
352 slight increase in physical clogging due to an incomplete elimination of fine materials in the DP  
353 during the heavy rainy events described in Period I; 2) a compaction of the materials present at  
354 the bottom of the IP; and 3) a decrease in water temperature, which implicitly implied a  
355 reduction of hydraulic conductivity and, consequently, of the infiltration rate (Vandenbohede  
356 and Van Houtte, 2012). During this Period II, there was also a decrease in the redox potential  
357 (between +500 and 0 mV) indicating that denitrification and manganese reduction were the  
358 main processes, as also confirmed by hydrochemistry (Figure 3). This behavior is consistent with  
359 the small but continuous increase of temperature (end of winter in a Mediterranean climate)  
360 which could have triggered some slight microbial activity in the system, as observed in warmer  
361 periods.

362 ***Spring: Flooding event disturbing the system with a progressive increase in the biological***  
363 ***activity (Period III)***

364 Period III started after a flooding event following a big rain episode at the end of March. An  
365 immediate observation was a drastic reduction in infiltration capacity at the beginning of the  
366 period (Figure 2a), probably caused by physical clogging from the entrance of suspended solids  
367 in both ponds. Physical clogging was also postulated from the outcome of a flow model of the  
368 infiltration pond developed in parallel (Rodríguez- Escales et al. 2020). At the same time, the  
369 flooding event caused a significant increase of the regional GWL that overflowed the bottom of  
370 the infiltration pond during three weeks, followed by a slight decrease with time (Figure 2b).

371 During this period, the combination of a practically null infiltration rate for two weeks and warm  
372 temperatures, enhanced the biological activity of the system. This resulted in a decrease in redox  
373 potential from an average of 600 mV to -200 mV in two weeks at the topsoil (Figure 3c) and in

374 three weeks in the aquifer (PJ, Figure 3 (3.5m depth)). The hydrochemical survey carried after  
375 the rain event at the infiltration pond showed a null concentration of nitrate, as well as the  
376 presence of Mn(II), Fe(II) and sulfur, indicative of reducing conditions, coherent with the  
377 reported *Eh* (Figure 3). Achieving reducing conditions during wet periods and warm  
378 temperatures is in agreement with previous works (Moshe et al., 2020). The increase of the  
379 biological activity was not restricted to the infiltration pond and the topsoil, but we observed  
380 some differences in the metabolic balance for the different MAR facility compartments:

381 i) In the DP, an important increase of TOC and in less extent of DOC, with very high DO and  
382 extremely low CO<sub>2</sub> saturation values, indicating high primary production from photoautotrophs,  
383 (Goren et al., 2014; Turkeltaub et al., 2022). TOC peaks were associated to protein-like organic  
384 matter, produced *in situ* by primary producers observed in the MAR (algae, cyanobacteria)  
385 mainly synthesized in the DP (Figure 4). Indeed, accumulation of algae biomass was visually  
386 observed during the field survey. This was coherent with pH dynamics (Figure 3), showing more  
387 basified conditions in the decantation pond than in the infiltration one, the topsoil and the  
388 aquifer. The OM increase from the river water to the exit of the DP was accompanied with the  
389 highest protein-like peaks, supporting the view that OM generation was endogenous and  
390 recently produced.

391 ii) The role of the infiltration pond as generator of endogenous organic matter by photosynthesis  
392 was not clear during this season. Despite the IP showed the highest protein-like DOM signal of  
393 the April survey, as well as the highest carbon content in the sediments (Supplementary  
394 Material), compared with the DP there were a similar TOC values, a decrease in the saturation  
395 of O<sub>2</sub>, and an increase in CO<sub>2</sub> d (Figure 4). These values indicates that respiration was also  
396 occurring in the IP. This would be coherent with the fact that this survey was performed when  
397 the infiltration pond was nearly clogged with a GWL above the bottom of the pond (Figure 2).  
398 Consequently, the observations were the result of the mixing of groundwater and surface water,

399 where respiration was more important in groundwater whereas photosynthesis in surface  
400 water.

401 iii) In the topsoil of the infiltration pond (USZ) and the aquifer, the dominant process was  
402 respiration. This implied DOC degradation and a low pH value, consistent with low oxygen and  
403 nitrate concentrations and *Eh* data (Figures 2, 3 and 4). The steady increase of DOC (and total  
404 DOM fluorescence) from the infiltration pond to the vadose zone indicates a transfer from the  
405 TOC to the DOC pool, probably biologically mediated. This assumption is consistent with the fact  
406 that protein-like DOM peaks moderately increased only in the infiltration pond, where an  
407 important reduction TOC together with a decrease of DOC took place. It is interesting to see  
408 how DOC properties were modified along the infiltration path, as there was a significant  
409 progressive decrease of the protein-like DOM peaks between the top sample (USZ-15), the deep  
410 (USZ-90) and the aquifer (PJ). This indicated that the labile organic matter was consumed along  
411 the water circulation path through the soil due to the microbiological activity (also consistent  
412 with the reduction of *Eh*, Figures 2 and 3).

413 **4.3 Summer: Infiltration rate controlled by the biological activity of the system (Periods IV and**  
414 **V) with reducing conditions in the topsoil of the Infiltration Pond.**

415 At the end of Period III (end of spring), the infiltration pond was totally clogged, and scrapping  
416 was performed as a mitigation measure. At the beginning of Period IV, the infiltration capacity  
417 was fully recovered, which induced during some days a short increase of up to aerobic conditions  
418 except at the bottom of the topsoil (70 cm depth) (Figure 2). After two weeks, *Eh* went down  
419 again to values of -200 mV at all depths except the shallowest point (3 cm depth) until the  
420 starting of Period V (beginning of July). Further, the infiltration capacity was significantly  
421 reduced, this time attributed to bioclogging since: 1) low turbidity in the water daily monitored  
422 at the Llobregat River (data not shown); and 2) the infiltration rate was recovered after the  
423 summer breaks without any need for additional scrapping actions.

424 The reported observations were consistent with high biological activity in all MAR  
425 compartments. The June survey showed a TOC increase along the system, even higher than in  
426 April, with concentrations up to 12 mg/l at the outlet of the decantation pond. Similar to the  
427 spring survey, high DO and extremely low CO<sub>2</sub> saturations indicated high primary production in  
428 the DP. However, same conditions were also observed in the IP, confirming that primary  
429 production occurred in the infiltration pond. This was also coherent with more basified pH than  
430 in spring (Figure 3). Once water crossed the infiltration pond, the situation switched, and  
431 respiration metabolism took over (DO saturation dramatically decreased, while CO<sub>2</sub> saturation  
432 increased). Note that in the spring survey sampling was a mixing between groundwater and  
433 surface water as reported, whereas in June this mixing was not expected since GWL did not  
434 reach the bottom of the IP.

435 Summer surveys showed DOM protein-like peaks indicating that the organic matter was  
436 generated along both the DP and IP, inducing reducing conditions in the topsoil with a  
437 production of manganese and iron, sulfate reduction, and null concentration of nitrate. The  
438 intensity of peaks associated to protein-like DOM relative to the intensity of peaks associated to  
439 humic-like components showed a maximum in June, mostly in both ponds (in July, being only in  
440 DP). Despite in July the system was stopped for 5 days, the infiltration capacity was not  
441 recovered until August (grey period in Figure 2) after the MAR system was discontinued for one  
442 month (and no scrapping). Finally, in the last part of Period V, the redox potential raised slightly  
443 to a low positive value and it seemed to recover the initial conditions (October, 2016).

444

#### 445 **4.4 Considerations to improve MAR ponds systems planning and management**

446 Understanding changes in the infiltration rate and water quality due to the combination of  
447 environmental factors together with management actions allows improving the efficiency of  
448 MAR systems. That means water quality is improved without hampering the target infiltration  
449 rate. The characterization of organic matter, the hydrochemical signature, plus the continuous

450 monitoring of  $Eh$  and infiltration rate indicates that the studied MAR system can produce its  
451 own organic carbon on top of the actual carbon present in the raw recharge water. This self-  
452 produced organic carbon affects the infiltration rate and induces changes in water quality, both  
453 being strongly dependent on climate conditions.

454 As observed in the Castellbisbal site, for a MAR system in a Mediterranean climate, the biological  
455 activity in the MAR ponds in winter with lower temperatures is expected to be minimal, reducing  
456 the capability of the system to induce biological clogging and keeping the redox potential in the  
457 aerobic zone. During this period, the occurrence of rain events is higher, increasing river  
458 turbidity giving as a result an important significance to physical clogging and the need for intense  
459 managing operations (discontinuing operation frequently or needing for clogging removal  
460 whenever the system is flooded). During warm periods, the biological activity of the system will  
461 increase, generating organic matter in the ponds, and enhancing microbiological activity in the  
462 topsoil. Such activity results in more reducing conditions of recharge water with higher  
463 concentrations of ammonium and metals, especially, during the first meters of the unsaturated  
464 zone. Although, at the first moment, this represents a loss in water quality, these conditions  
465 enhance the depuration capacity of the MAR facility for more recalcitrant compounds (EOCs).  
466 Reducing conditions of the recharged water would be mitigated along the unsaturated zone  
467 and/or once it is mixed with groundwater, getting the target with enough quality. It has been  
468 widely demonstrated that lower residence times, as well as, more diversity in the redox  
469 conditions promoted by a higher biological activity enhances the removal capacity of EOCs in  
470 MAR facilities (Valhondo et al. 2014, Rodríguez-Escales et al. 2017). Nevertheless, during this  
471 period the system needs to be managed carefully considering the higher risk of clogging. At this  
472 point, we strongly recommend a continuous, non-intrusive, and *in-situ* monitoring of the  
473 different compartments of the full MAR system. Measurements should include not only  
474 infiltration rates (or accumulated volumes), but also the main physicochemical and  
475 hydrochemical parameters, the redox potential and the source of the organic matter. Oppositely

476 to winter, infiltration may be maintained without periodic scrapping, provided infiltration is  
477 discontinued and allowing the pond to be dried and exposed to the sun to provide unfavourable  
478 conditions for biofilm formation. The required drying time for the studied system needs to be  
479 properly established in any given facility (in Castellbisbal, it lies between five days and one  
480 month), also conditioned to local factors (geology, type and hydraulic characteristics of the soil,  
481 climatology, etc.).

482 Another important point is that the management of the decantation pond may have an  
483 important effect on the quality and quantity of the infiltrated water. That is, the organic matter  
484 generated in a MAR system with a continuously flooded DP (thus, functioning as a wetland), is  
485 expected to be much more significant than in systems where the pond is periodically dried (e.g.,  
486 when recharge water is supplied in a discontinuous way). For that, the current design of the  
487 decantation ponds needs to be rethought. Currently, the main factors to consider when  
488 designing it are two: availability of space and enough residence time of water to ensure a proper  
489 deposition of the suspended materials. This study indicates that a third factor should be  
490 considered, that of the appropriate design (e.g. surface area/depth ratio) in order to increase (if  
491 quality is prioritized) or decrease (if quantity is prioritized) the generation of organic matter  
492 along the pond. However, it is necessary to stress that in each climatic zone, the variation of the  
493 environmental conditions will be different (temperature, light, available nutrients), so that the  
494 detailed evolution of the biological activity of a given system should be studied in a case-by-case  
495 consideration. Altogether, we believe that proper monitoring will reduce maintenance costs of  
496 MAR facilities in the mid and long term.

## 497 **5 Conclusions**

498 In this work, we have characterized a MAR surface infiltration pond system (river, decantation  
499 and infiltration ponds, soil and aquifer) to investigate the origin and the fate of organic matter  
500 in the facility and to relate it to the clogging events during a whole year. This characterization



501 has been based on an intensive and continuously monitoring of the infiltration rate, the  
502 reduction oxidation potential in the first meter of the unsaturated zone as well as four  
503 hydrochemical surveys characterizing, as well, the properties of the organic matter.

504 The characterization of organic matter has allowed us to distinguish the recent organic matter  
505 (endogenous organic matter, that is, generated within the MAR system), which fuel microbial  
506 respiration processes, from allochthonous organic matter (imported with the raw water and less  
507 prone to degradation). Results show that OM is generated within the MAR system during spring  
508 and summer, mainly in the decantation pond and to a lesser extent in the infiltration pond.  
509 These endogenous organic matter boosts the microbial activity in the topsoil of the infiltration  
510 pond, inducing reducing conditions and diminishing the infiltration rate due to bioclogging. In  
511 general terms, the biological activity of surface water was more associated to photosynthesis,  
512 whereas infiltrated water to respiration. On the other hand, during cooler seasons, biological  
513 activity is diminished and the organic matter present in the MAR facility is more recalcitrant  
514 coming from water river. Therefore, clogging events were associated to river water turbidity  
515 (with higher values of 150 NTU), which was associated, at the same time, to heavy rainfall events  
516 characteristics of Mediterranean region.

517 Therefore, to fully understand the dynamics and biogeochemical taking place in a MAR system,  
518 the full system needs to be considered, from raw water to the aquifer including both ponds and  
519 the topsoil. The generation of endogenous organic matter in MAR systems should be considered  
520 for managing purposes in order to improve water quality, increase quantity or both, but also the  
521 for MAR systems planning and design.

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531

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## Abstract

Understanding and characterizing changes in infiltration rates and water quality is key for a proper management and efficiency of MAR systems. Here, we present an integral characterization of a MAR surface infiltration pond system (river, decantation and infiltration ponds, soil and aquifer) in a Mediterranean climate to investigate the origin and fate of organic matter in the facility, which is presumed to trigger clogging events, and reducing conditions. We performed four sampling surveys in different seasons, to obtain the hydrochemical signature of water and the concentrations and characterization of organic matter (OM) by fluorescence spectroscopy. This allowed the differentiation of OM recently generated (endogenous OM, that is, generated within the MAR system), which fuel microbial respiration processes, from allochthonous OM (imported with the raw water and less prone to degradation). These snapshot campaigns were combined with continuous measurements during one year (every 12 minutes) of redox potential at several points of the topsoil (1 m) of the infiltration pond, and daily measurements of the infiltration rate. Results show that OM is generated within the MAR system during spring and summer, mainly in the decantation pond and to a lesser extent in the infiltration pond. These endogenous organic matter boosts the microbial activity during warmer seasons, inducing reducing conditions in the topsoil of the infiltration pond and diminishing the infiltration rate due to bioclogging. Therefore, the design and management of surface MAR systems in seasonal climates such as the Mediterranean must consider the seasonal effects of endogenous biological activity on water quality and infiltration capacity of the system. Implications of the findings in the design and the management and planning of surface MAR systems are also discussed.

### Highlights

- OM is a key parameter influencing bioclogging and redox potential in infiltration ponds
- Endogenous OM is generated within the MAR facility, mainly in the decantation pond
- Bioclogging and reducing conditions occurred in warmer months due to OM production
- MAR systems may be designed and managed to modify endogenous OM growth

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Albert Folch reports financial support was provided by State Agency of Research. Albert Folch is Associate Editor of Journal of Hydrology.

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Editor.  
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Dear Professor Corrado,

Enclosed is the paper entitled “A holistic view of Managed Aquifer Recharge surface facilities to understand spatial and temporal changes in the infiltration rate and water quality” by Albert Folch, Carme Barba, Rafael Marcé, Xavier Sanchez-Vila, Alvaro Benito and Paula Rodríguez-Escales.

Managed Aquifer Recharge (MAR) infiltration ponds are engineered systems intended to increase freshwater resources in areas facing water scarcity, also in adaptation to the changing climate and global conditions. Despite its importance these kind of systems are not fully understood. To advance in its management and design we present an interdisciplinary study with a full characterization of a MAR surface infiltration pond system (river, decantation and infiltration ponds, soil and aquifer) to investigate the origin and the fate of organic matter in the facility, in terms of trigger of bioclogging events, as well as driver of the water quality variations.

To do it we have monitored for one year (every 12 minutes) redox potential at several points of the topsoil (1 m) of the infiltration pond, and daily measurements of the infiltration rate. This data has been combined with four sampling surveys in different seasons including the hydrochemical signature and the concentrations of organic matter (OM). This OM has been also characterized by fluorescence, (according to the authors knowledge for the first time in a MAR pond system), which allowed us to differentiate between OM recently generated and non-recently synthesized.

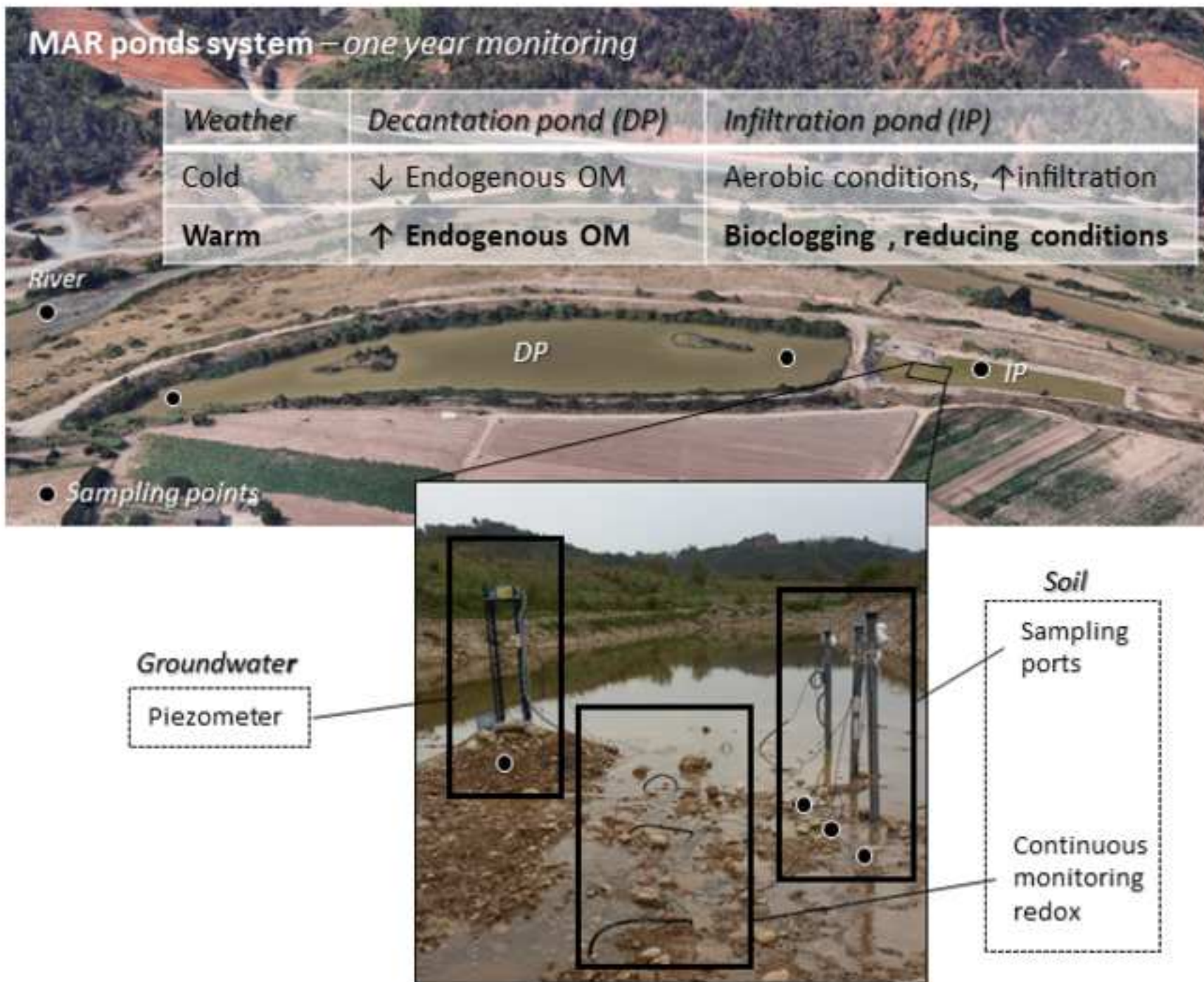
Results show that organic matter is generated within the MAR system during spring and summer, (mainly in the decantation pond) boosting the microbial activity in the topsoil of the infiltration pond, inducing reducing conditions and diminishing the infiltration rate due to bioclogging. The implications of these findings in the design and the management of surface MAR systems are discussed.

According to the results, we believe that this manuscript is suitable for publication in Journal of Hydrology. This manuscript is an original work; it was not published elsewhere, and it is not under consideration for publication elsewhere. All authors have read the manuscript and have agreed to submit it to Journal of Hydrology

Thank you in advance.

Yours faithfully,

Albert Folch



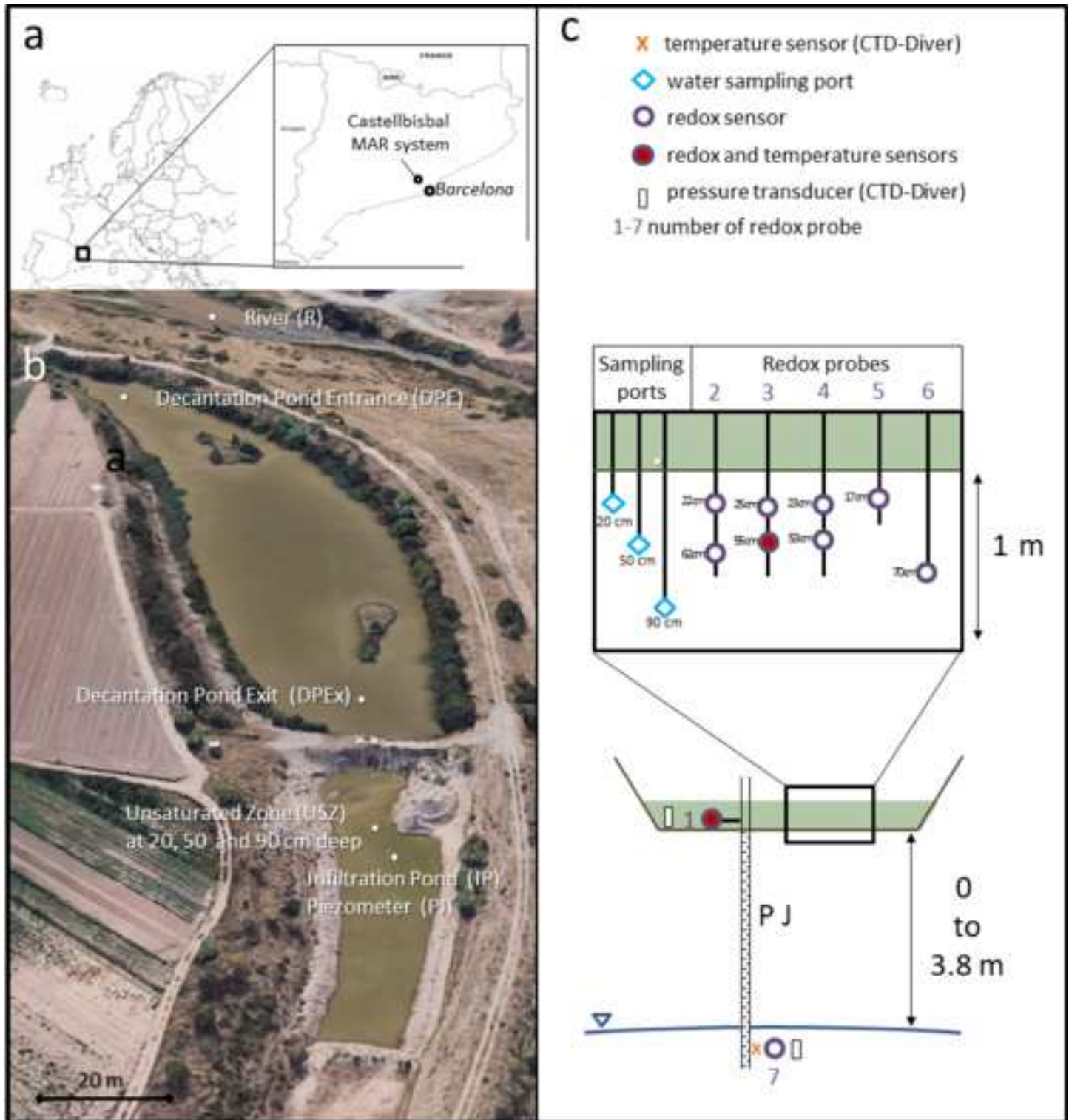




Figure 2

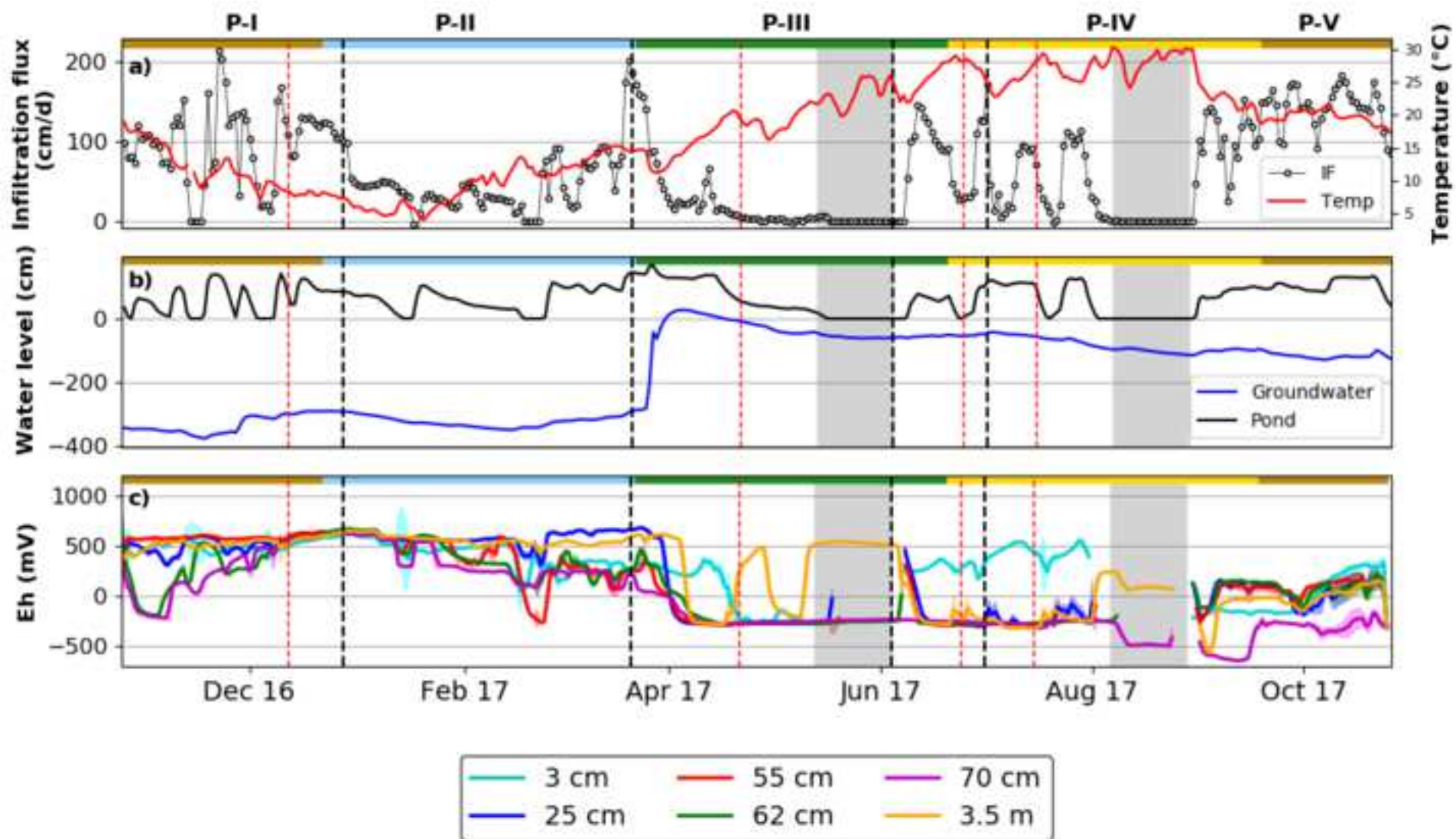
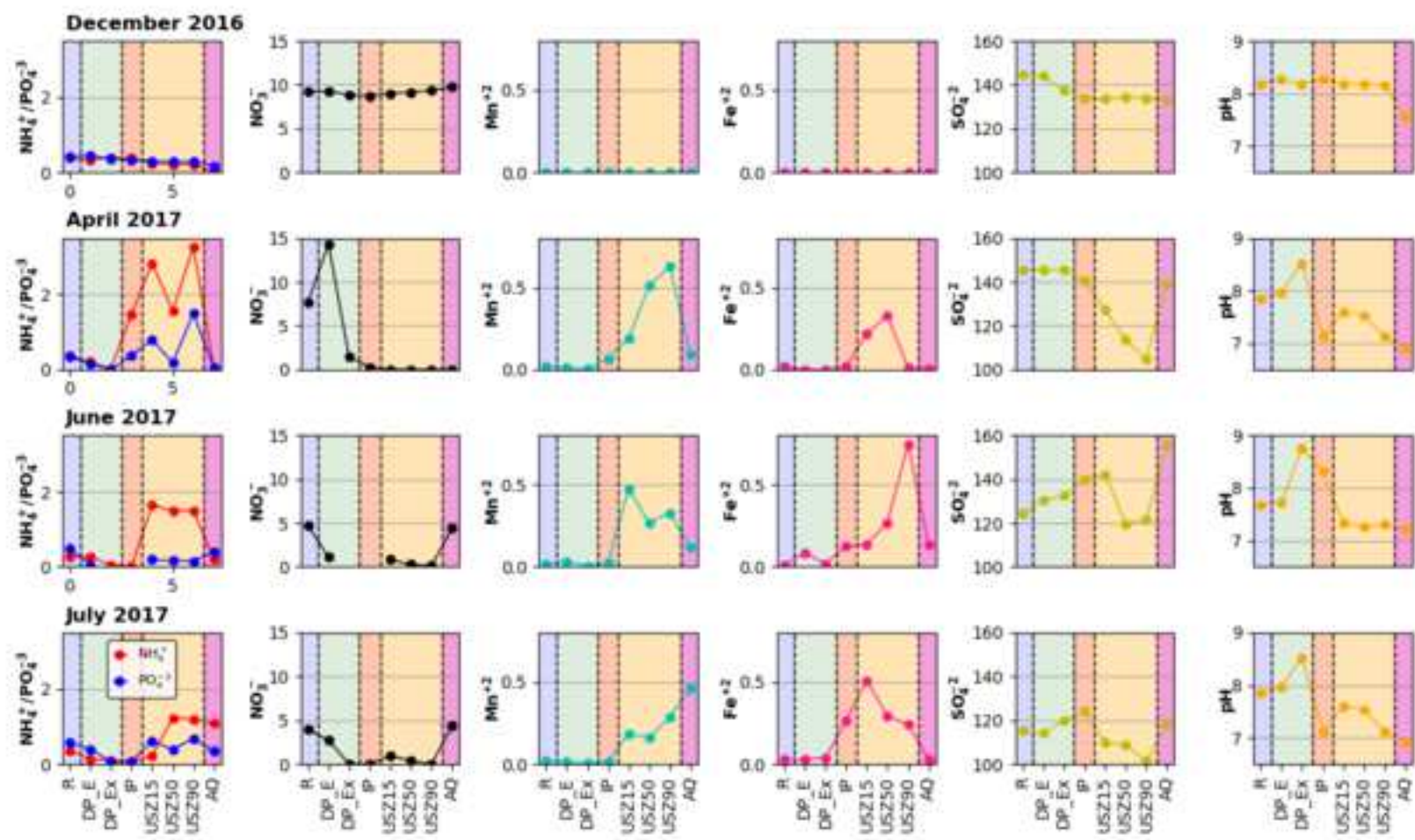
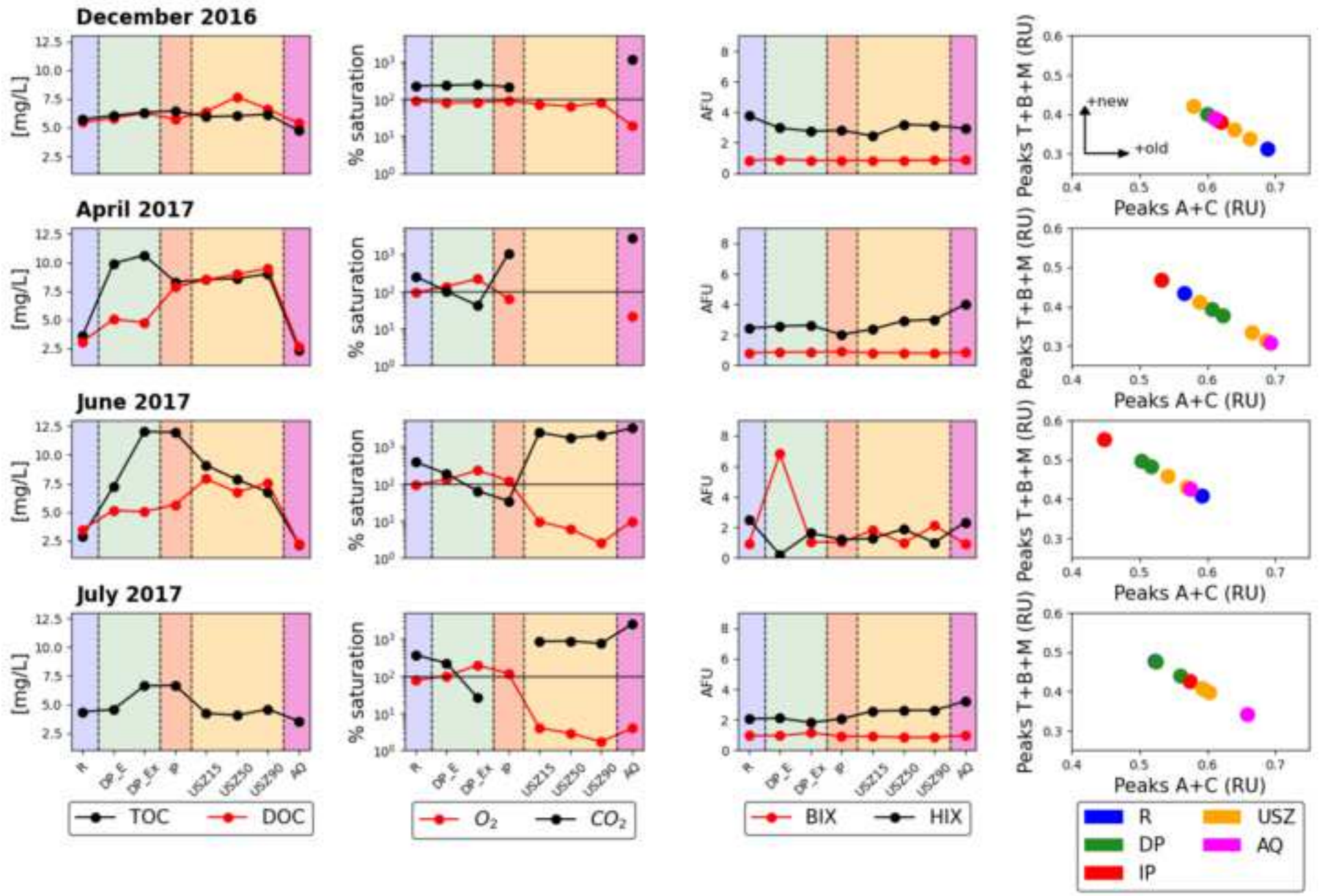
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Figure 3

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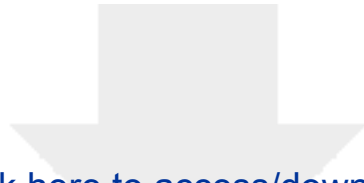
## Figure captions

**Figure 1.** Castellbisbal MAR site description. a) General location of the site; b) Close-up of the facility and location of the sampling points; and c) Detail of the location of the different monitoring points and sensors in cross section.

**Figure 2.** Temporal evolution of the different continuous measurements. a) Infiltration flux in cm/d (black) and temperature within the infiltration pond (red solid line); b) Water level at piezometer PJ (blue) and the infiltration pond (black); c) Redox potential (from sensor redox probes) at different depths. Grey zones are periods where infiltration was discontinued, either due to scrapping operations (June), or for summer holidays (August).

**Figure 3.** Hydrochemical results of the four sampling campaigns. All units (except pH) are in mg/L. The color pattern relates to the different places within the recharge facility: blue, river (sample denoted by R); green, decantation pond (samples DP-E at the entrance, and DP-EX at the exit); orange, infiltration pond (IP); light orange, unsaturated zone-soil (samples USZ 15, 50 and 0, with numbers indicating depth in cm); and magenta, aquifer (AQ, measured in PJ).

**Figure 4.** Evolution of TOC, oxygen, CO<sub>2</sub> content, BIX, and HIX indices at the different sampling campaigns. See the notation for sampling points in the caption of Figure 3. The last column displays the proportion of fresh-like components (vertical) to humic-like ones (horizontal) corresponding to each sampling point and survey.



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